



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 97,223-D)

PATENT

7/12/01

In Application Of:

D. Lansing Taylor

Serial No. 09/468,673

Filed: December 21, 1999

For: Miniaturized Cell Array Methods
and Apparatus for Cell-Based
Screening

Examiner: Padmanabhan, K.

Group Art Unit: 1641

Repln. Ref: 06/22/2001 ETULU1 0011555300
DAH:132490 Name/Number:09468673
FC: 704 \$5.00 CR

Information Disclosure Statement

Assistant Commissioner For Patents
Washington, D.C. 20231

Repln. Ref: 06/22/2001 ETULU1 0011580500
DAH:132490 Name/Number:09468673
FC: 704 \$55.00 CR

Dear Sir:

Pursuant To 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This information disclosure statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the references cited below are enclosed. These references are also listed on the enclosed PTO Form 1449.

In the judgment of the undersigned, portions of the listed references may be material to the examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

McDonnell, Boehnen, Hulbert & Berghoff
300 S. Wacker Drive, Suite 700
Chicago, IL 60606
312-913-0001

FEES

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No fee is owed by the applicant(s).

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The **IDS Fee of \$240.00** under 37 C.F.R. § 1.17(p), **extension fee of \$55.00**, and **processing fee for color drawings of \$130.00** under 37 C.F.R. § 1.17 (I) is enclosed herewith.

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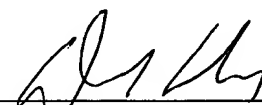
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Respectfully submitted,

Date: _____

6/26/01

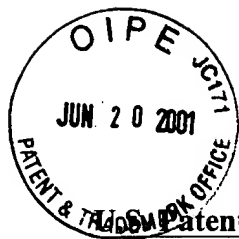


David S. Harper
Registration No. 42,636

Telephone: 312-913-0001
Facsimile: 312-913-0002

McDonnell Boehnen Hulbert & Berghoff
300 South Wacker Drive
Chicago, IL 60606

McDonnell, Boehnen, Hulbert & Berghoff
300 S. Wacker Drive, Suite 700
Chicago, IL 60606
312-913-0001



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Chicago, IL 60606
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300 S. Wacker Drive, Suite 700
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Co-Pending Application

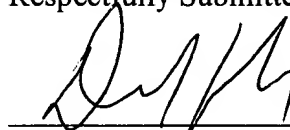
Jung, et al., U.S. Patent Application No. 09/401,212, Filed on September 22, 1999.

Date:

6/20/01

Respectfully Submitted,

By:



David S. Harper
Reg. No. 42,636

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of a national application; within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or before the mailing date of a first Office Action on the merits. 37 C.F.R. § 1.97 (b)
- ☒ **after** three months of the filing date of a national application, or the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or **after** the mailing date of a first Office Action on the merits, but **before** the mailing date of a Final Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 (whichever occurs first), and includes (37 C.F.R. § 1.97 (c):
- ☐ the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below)

OR

- ☐ the fee of \$240 set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).
- ☐ **after** a Final Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 (whichever occurs first), but before, or simultaneously with, the payment of the issue fee, and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below), and the Petition Fee set forth in 37 C.F.R. § 1.17(i) (see "Fees" and "Method of Payment of Fees" below). Applicants hereby petitions for consideration of the Information Disclosure Statement submitted herewith and the accompanying references in examination of the subject patent application.

CERTIFICATION

- ☐ The **undersigned** hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned** hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

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300 S. Wacker Drive, Suite 700
Chicago, IL 60606
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